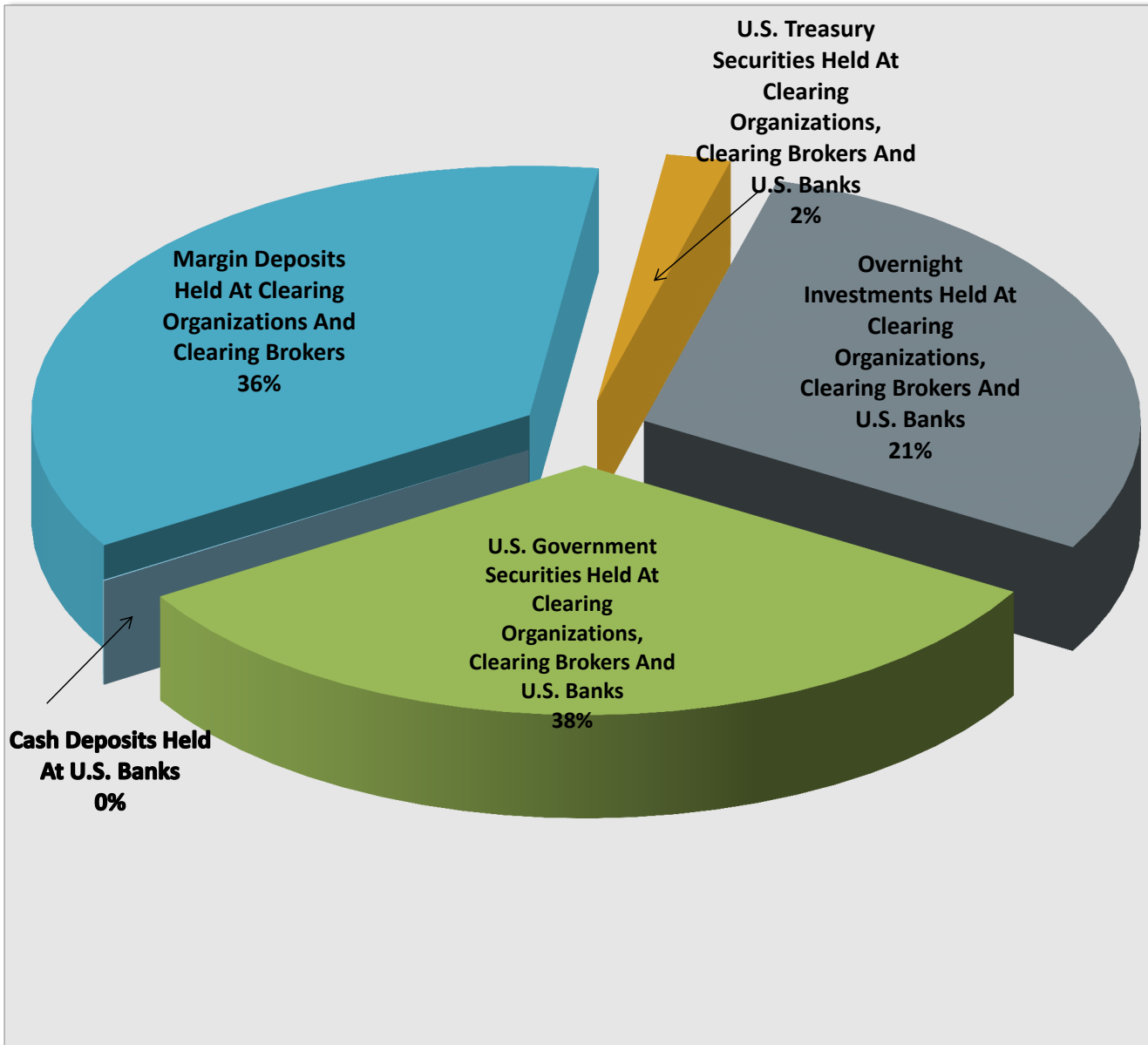




## Investment Holdings Customer Segregated Funds Friday, February 01, 2019



### Supplemental Information:

<u>Investment Holdings</u>	<u>Amount</u>
U.S. Treasury Securities	\$24,000,000
Overnight Investments	\$332,000,000
Cash Margin Deposits	\$410,000,000
Cash at U. S. Banks	\$1,000,000
U.S. Government Securities	\$374,000,000

- Clearing Organizations and Clearing Brokers include U.S. regulated clearing organizations and U.S. regulated clearing brokers.
- Overnight investments are overnight transactions to purchase Securities under agreements to resell. Rosenthal Collins Group takes possession of collateral under these agreements that is equal to, or in excess of, the overnight transaction amount.
- Cash deposits are held at U.S. Banks and are available on demand. In the event of bank failure they are covered by the FDIC insurance.
- Investment holdings are as of the particular point in time noted. They are provided for information purposes only and are not a commitment to hold funds in any particular allocation amount now or in the future.
- U.S. Government Securities are obligations of any U.S. Government corporation or enterprise sponsored by the U.S. Government